

# Attention

- Our last class will be on Dec. 4.
- I will give a final exam review on Nov. 29.
- Failure to appear for the final exam will result in a grade of “F” in the course.

# Memory Hierarchy: Set Associative Cache

**Dr. Tao Xie**

**These slides are adapted from notes by Dr. David Patterson (UCB)**

# Fundamental Questions

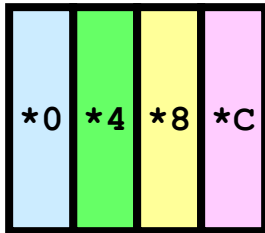
- Q1: Where can a block be placed in the upper level?  
*(Block placement)*
- Q2: How is a block found if it is in the upper level?  
*(Block identification)*
- Q3: Which block should be replaced on a miss?  
*(Block replacement)*
- Q4: What happens on a write?  
*(Write strategy)*

# Q1: Block Placement

- **Where** can block be **placed** in cache?
  - In one predetermined place - direct-mapped
    - Use fragment of address to calculate block location in cache
    - Compare cache block with tag to test if block present
  - Anywhere in cache - fully associative
    - Compare tag to every block in cache
  - In a limited set of places - set-associative
    - Use address fragment to calculate set (like direct-mapped)
    - Place in any block in the set
    - Compare tag to every block in set
    - Hybrid of direct mapped and fully associative

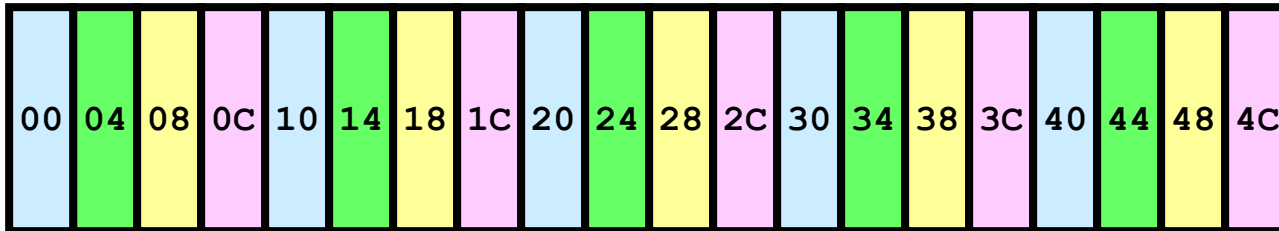
# Direct Mapped Block Placement

Cache



address maps to block:

location = (block address **MOD** # blocks in cache)



Memory

# Example: Accessing A Direct-Mapped Cache

- DM cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

DM Memory Access 1: Mapping:  $0 \text{ modulo } 4 = 0$

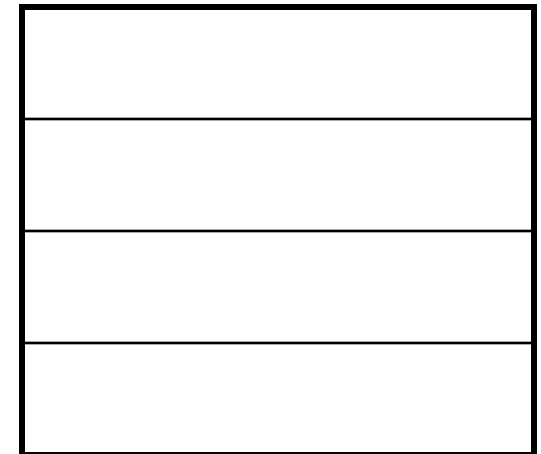
Mem Block	DM Hit/Miss
0	

Block 0

Block 1

Block 2

Block 3



# Example: Accessing A Direct-Mapped Cache

DM cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

DM Memory Access 1: Mapping:  $0 \bmod 4 = 0$

Mem Block	DM Hit/Miss
0	miss

Block 0

Block 1

Block 2

Block 3

Mem[0]

Set 0 is empty: write Mem[0]

# Example: Accessing A Direct-Mapped Cache

- DM cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

DM Memory Access 2: Mapping:  $8 \bmod 4 = 0$

Mem Block	DM Hit/Miss
0	miss
8	

Block 0

Block 1

Block 2

Block 3

Mem[0]



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DM Memory Access 2: Mapping:  $8 \bmod 4 = 0$

Mem Block	DM Hit/Miss
0	miss
8	miss

Block 0

Block 1

Block 2

Block 3

Mem[8]

Set 0 contains Mem[0]. Overwrite with Mem[8]

# Example: Accessing A Direct-Mapped Cache

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Block 2

Block 3

Mem[8]

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0	miss
8	miss
0	miss

Block 0

Block 1

Block 2

Block 3

Mem[0]

Set 0 contains Mem[8]. Overwrite with Mem[0]

# Example: Accessing A Direct-Mapped Cache

- DM cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

DM Memory Access 4: Mapping:  $6 \bmod 4 = 2$

Mem Block	DM Hit/Miss
0	miss
8	miss
0	miss
6	

Block 0

Block 1

Block 2

Block 3

Mem[0]

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DM Memory Access 4: Mapping:  $6 \bmod 4 = 2$

Mem Block	DM Hit/Miss
0	miss
8	miss
0	miss
6	miss

Block 0

Block 1

Block 2

Block 3

Mem[0]
Mem[6]

Set 2 empty. Write Mem[6]

# Example: Accessing A Direct-Mapped Cache

- DM cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

DM Memory Access 5: Mapping:  $8 \bmod 4 = 0$

Mem Block	DM Hit/Miss
0	miss
8	miss
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6	miss
8	

Block 0

Block 1

Block 2

Block 3

Mem[0]
Mem[6]

# Example: Accessing A Direct-Mapped Cache

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0	miss
8	miss
0	miss
6	miss
8	miss

Block 0

Block 1

Block 2

Block 3

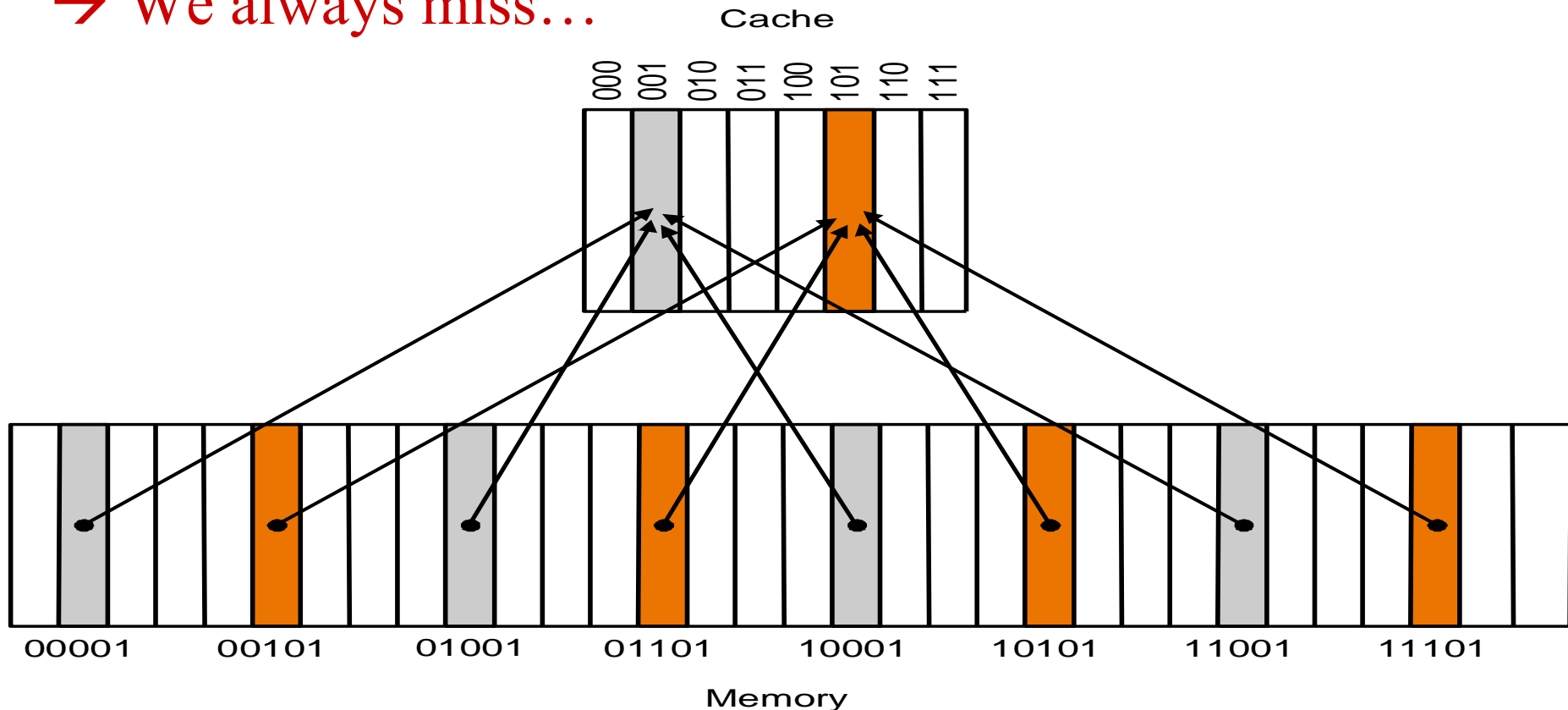
Mem[8]
Mem[6]

Set 0 contains Mem[0]. Overwrite with Mem[8]

# Direct-Mapped Cache with n one-word blocks

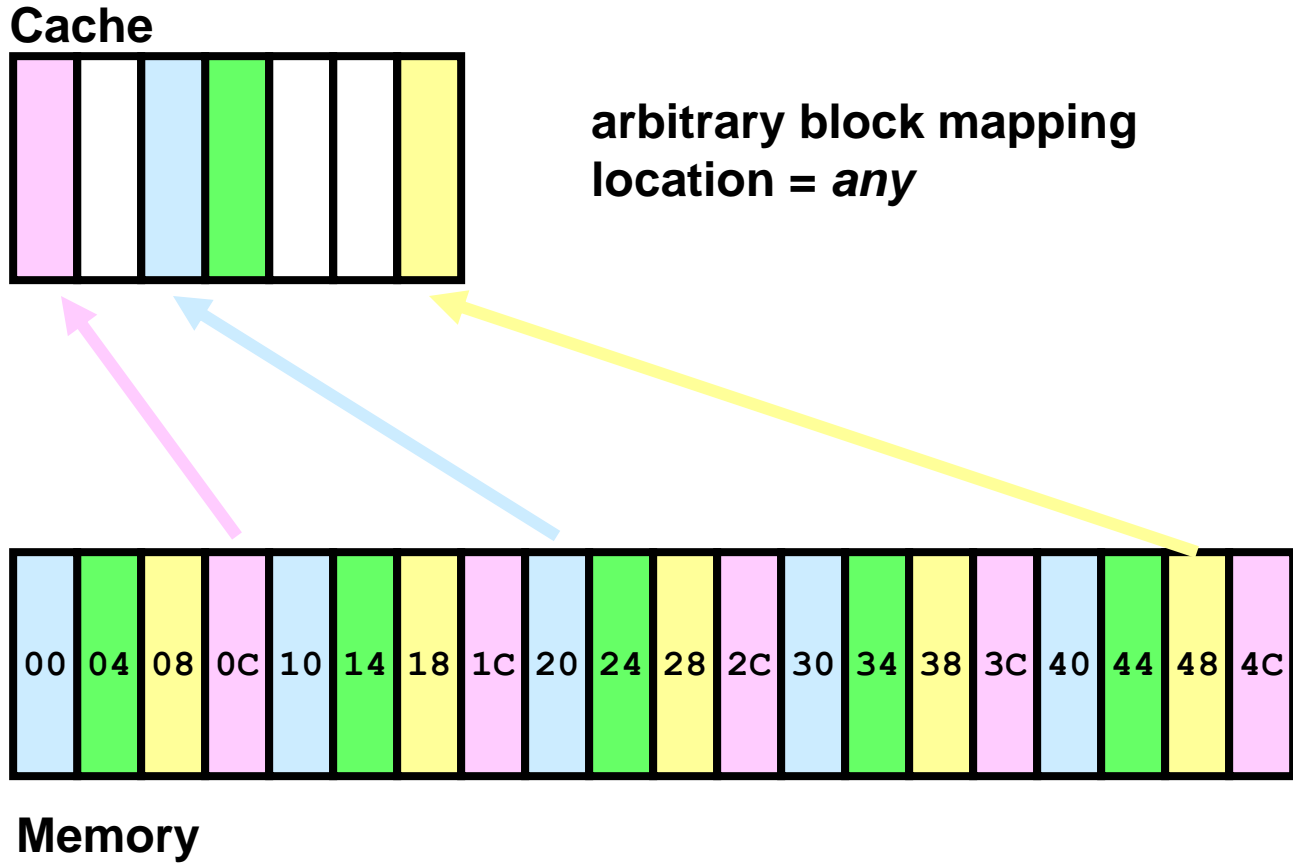
- Pros: find data fast
- Con: What if access 00001 and 10001 repeatedly?

→ We always miss...





# Fully Associative Block Placement



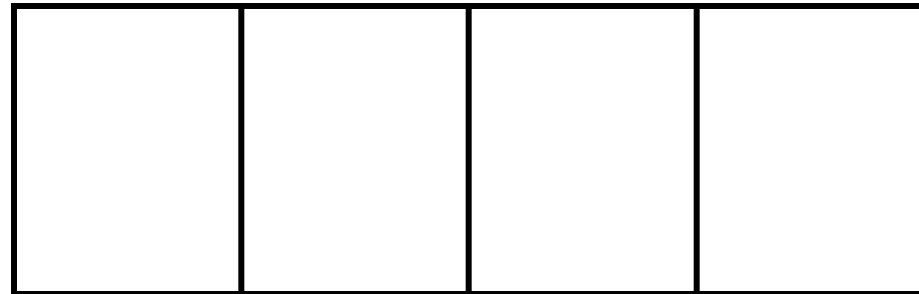
# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 1:

Mem Block	DM Hit/Miss
0	

Set  
0



FA Block Replacement Rule: replace least recently used block in set

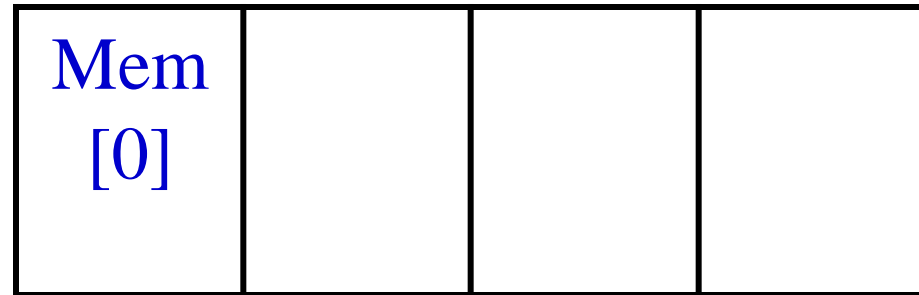
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## FA Memory Access 1:

Mem Block	DM Hit/Miss
0	miss

Set 0



Set 0 is empty: write Mem[0] to Block 0

# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 2:

Mem Block	DM Hit/Miss
0	miss
8	

Sequence  
0

Mem			
[0]			

# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 2:

Mem Block	DM Hit/Miss
0	miss
8	miss

Sequence  
0

Mem	Mem		
[0]	[8]		

Blocks 1-3 are LRU: write Mem[8] to Block 1

# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 3:

Mem Block	DM Hit/Miss	Se
0	miss	t
8	miss	0
0		

Mem [0]	Mem [8]		
------------	------------	--	--

# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 3:

Mem Block	DM Hit/Miss
0	miss
8	miss
0	hit

Sequence  
0

Mem [0]	Mem [8]		
------------	------------	--	--

Block 0 contains Mem[0]

# Example: Accessing A Fully-Associative Cache

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0	miss	t
8	miss	0
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6		

Mem [0]	Mem [8]		
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## FA Memory Access 4:

Mem Block	DM Hit/Miss
0	miss
8	miss
0	hit
6	miss

Sequence

Mem [0]	Mem [8]	Mem [6]	
---------	---------	---------	--

Blocks 2-3 are LRU : write Mem[6] to Block 2

# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 5:

Mem Block	DM Hit/Miss	Sequence
0	miss	
8	miss	
0	hit	
6	miss	
8		

Mem	Mem	Mem	
[0]	[8]	[6]	

# Example: Accessing A Fully-Associative Cache

- Fully-Associative cache contains 4 1-word blocks. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

## FA Memory Access 5:

Mem Block	DM Hit/Miss	Sequence 0
0	miss	
8	miss	
0	hit	
6	miss	
8	hit	

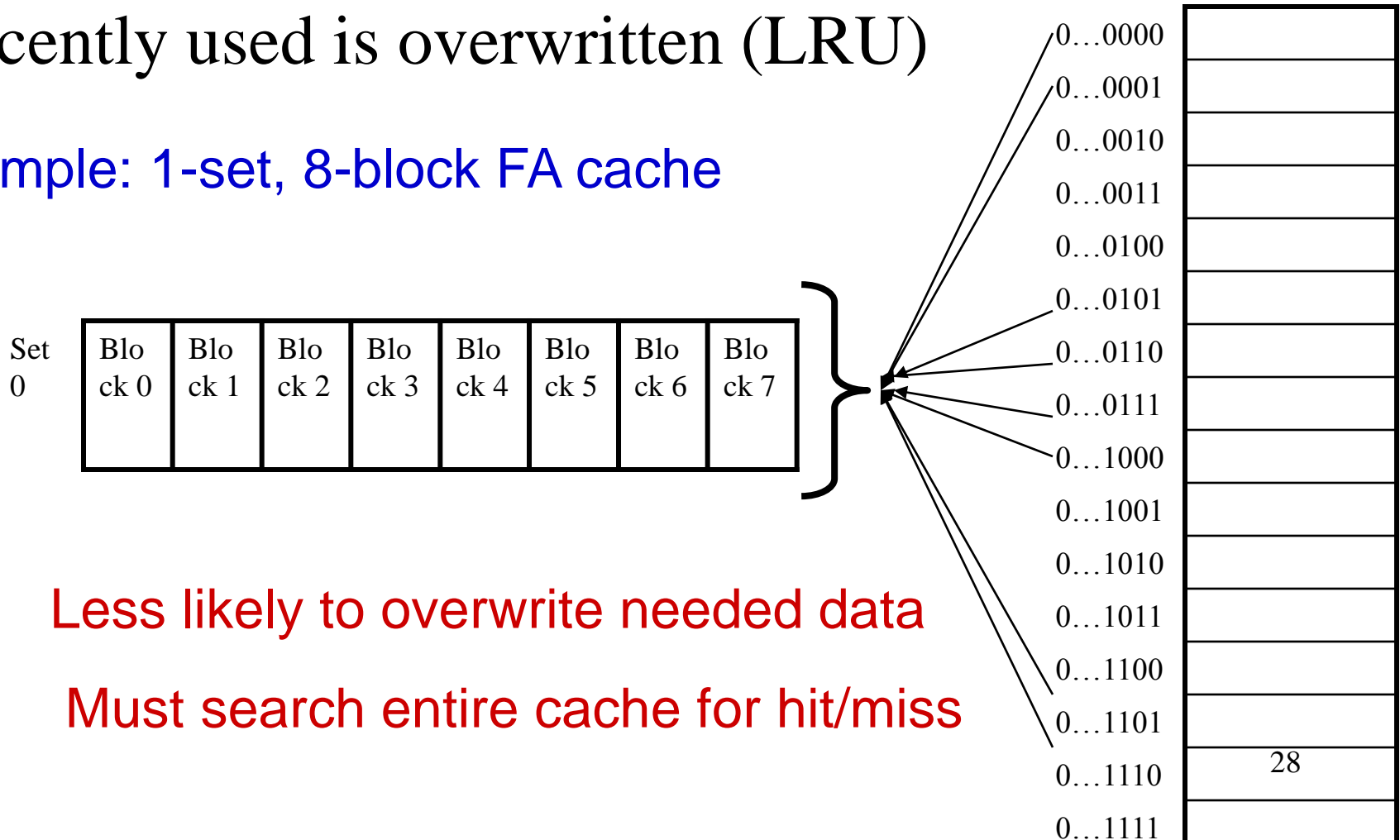
Mem [0]	Mem [8]	Mem [6]	
------------	------------	------------	--

Block 1 contains Mem[8]

# Fully-Associative Cache Basics

1 set, n blocks: no mapping restrictions on how blocks are stored in cache: many ways, e.g. least recently used is overwritten (LRU)

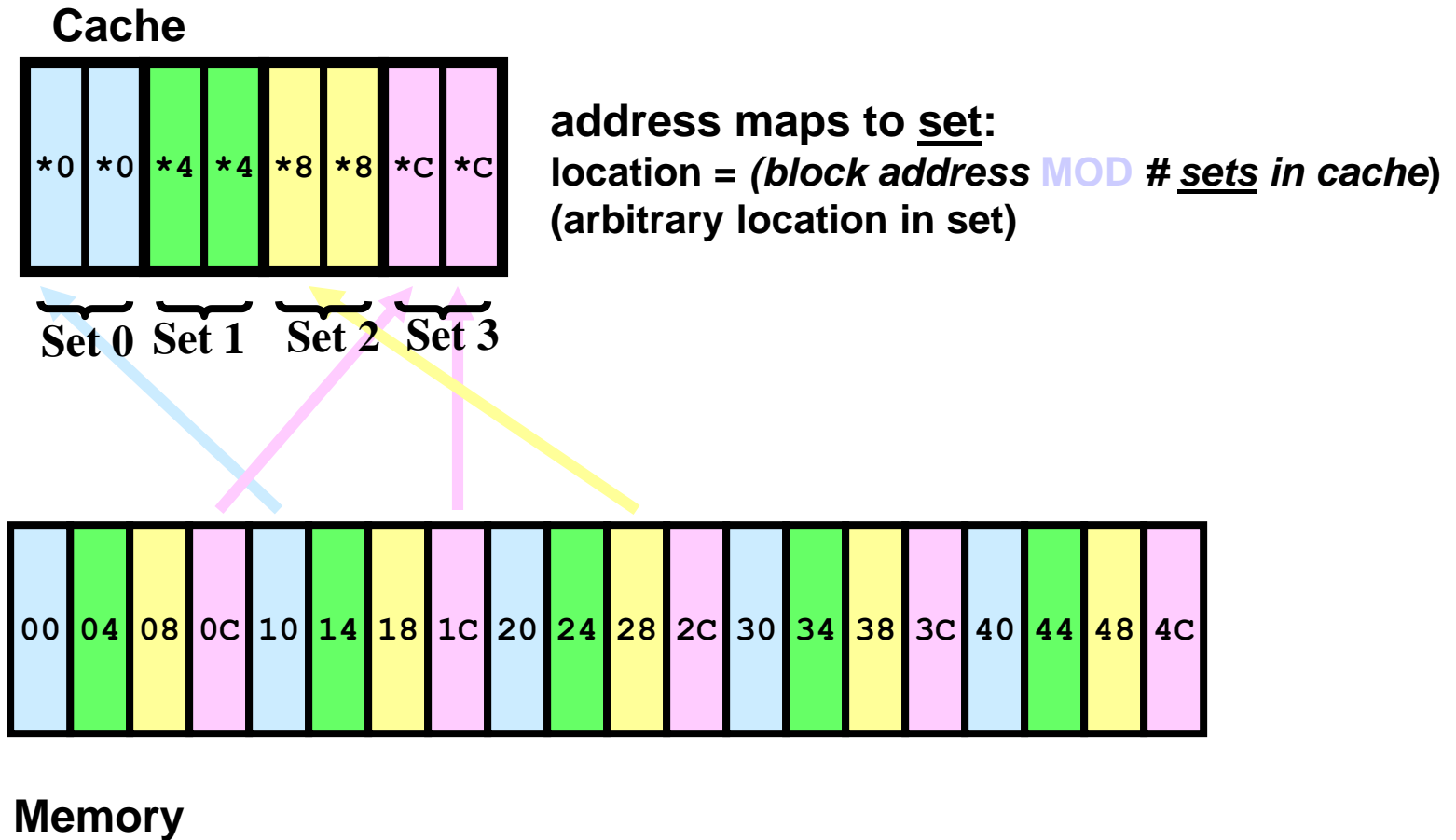
Example: 1-set, 8-block FA cache



**PRO:** Less likely to overwrite needed data

**CON:** Must search entire cache for hit/miss

# Set-Associative Block Placement

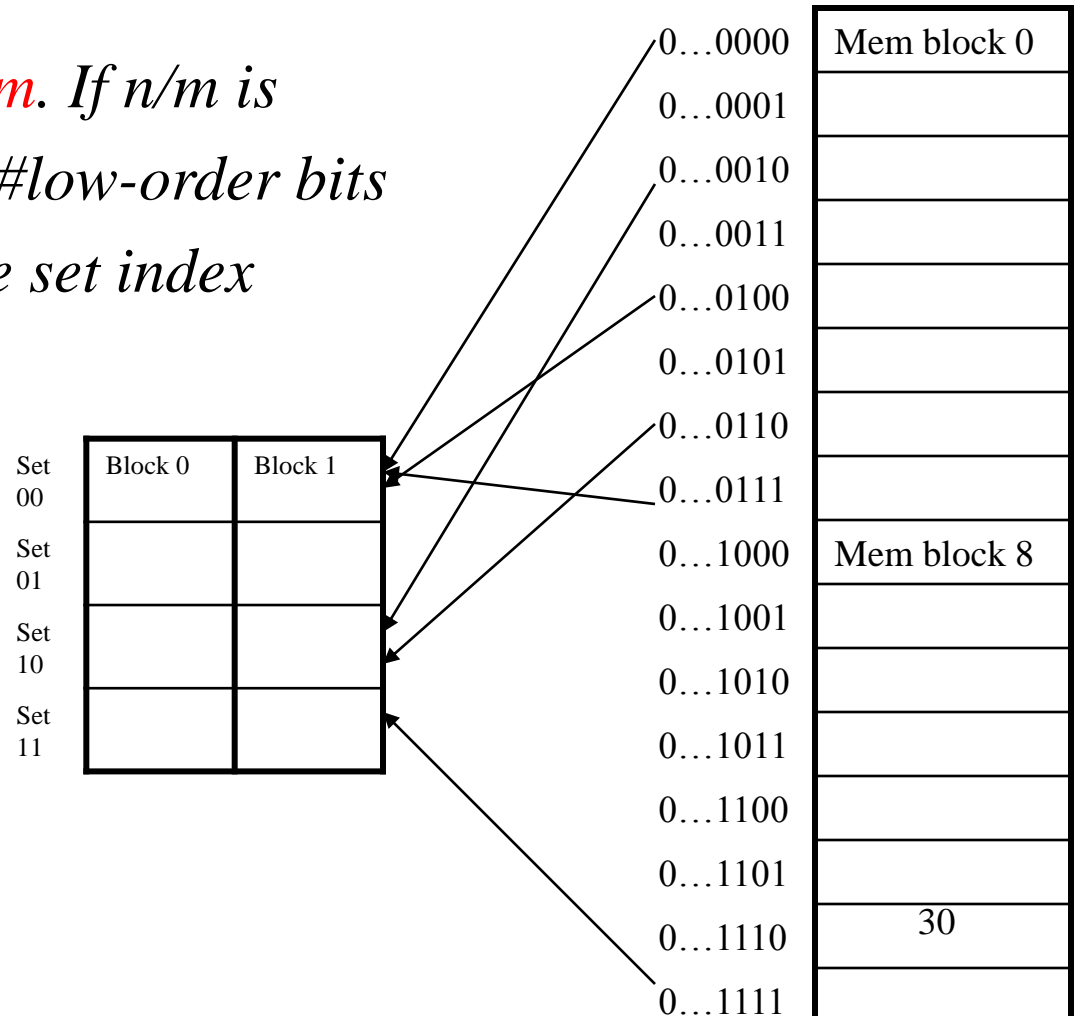


# Set-Associative Cache Basics

$n/m$  sets,  $m$  blocks ( $m$ -way): blocks are mapped from memory location to a specific **set** in cache

*Mapping: Mem Address %  $n/m$ . If  $n/m$  is a power of 2,  $\log_2(n/m) = \#$ low-order bits of memory address = cache set index*

**Example: 4 set,  
2-way SA cache  
(ADD mod 4)**



# Example: Accessing A Set-Associative Cache

- 2-way Set-Associative cache contains 2 sets, 2 one-word blocks each. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

SA Memory Access 1: Mapping:  $0 \bmod 2 = 0$

Mem Block	DM Hit/Miss
0	

Set 0

Set 1


SA Block Replacement Rule: replace least recently used block in set

# Example: Accessing A Set-Associative Cache

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Mem Block	DM Hit/Miss
0	miss

Set 0

Set 1

Mem[0]	

Set 0 is empty: write Mem[0] to Block 0



# Example: Accessing A Set-Associative Cache

- 2-way Set-Associative cache contains 2 sets, 2 one-word blocks each. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

SA Memory Access 2: Mapping:  $8 \bmod 2 = 0$

Mem Block	DM Hit/Miss
0	miss
8	

Set 0

Set 1

Mem[0]	

# Example: Accessing A Set-Associative Cache

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Mem Block	DM Hit/Miss
0	miss
8	miss

Set 0

Set 1

Mem[0]	Mem[8]

Set 0, Block 1 is LRU: write Mem[8]

# Example: Accessing A Set-Associative Cache

- 2-way Set-Associative cache contains 2 sets, 2 one-word blocks each. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

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0	miss
8	miss
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Set 0

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Mem[0]	Mem[8]

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Mem Block	DM Hit/Miss
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0	hit

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Set 1

Mem[0]	Mem[8]

Set 0, Block 0 contains Mem[0]

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0	miss
8	miss
0	hit
6	miss

Set 0

Set 1

Mem[0]	Mem[6]

Set 0, Block 1 is LRU: overwrite with Mem[6]

# Example: Accessing A Set-Associative Cache

- 2-way Set-Associative cache contains 2 sets, 2 one-word blocks each. Find the # Misses for each cache given this sequence of memory block accesses: 0, 8, 0, 6, 8

SA Memory Access 5: Mapping:  $8 \bmod 2 = 0$

Mem Block	DM Hit/Miss
0	miss
8	miss
0	hit
6	miss
8	

Set 0

Set 1

Mem[0]	Mem[6]

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Set 0	Mem[8]	Mem[6]
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Set 0, Block 0 is LRU: overwrite with Mem[8]



# Set-Associative Cache Basics

$n/m$  sets,  $m$  blocks ( $m$ -way): blocks are mapped from memory location to a specific **set** in cache

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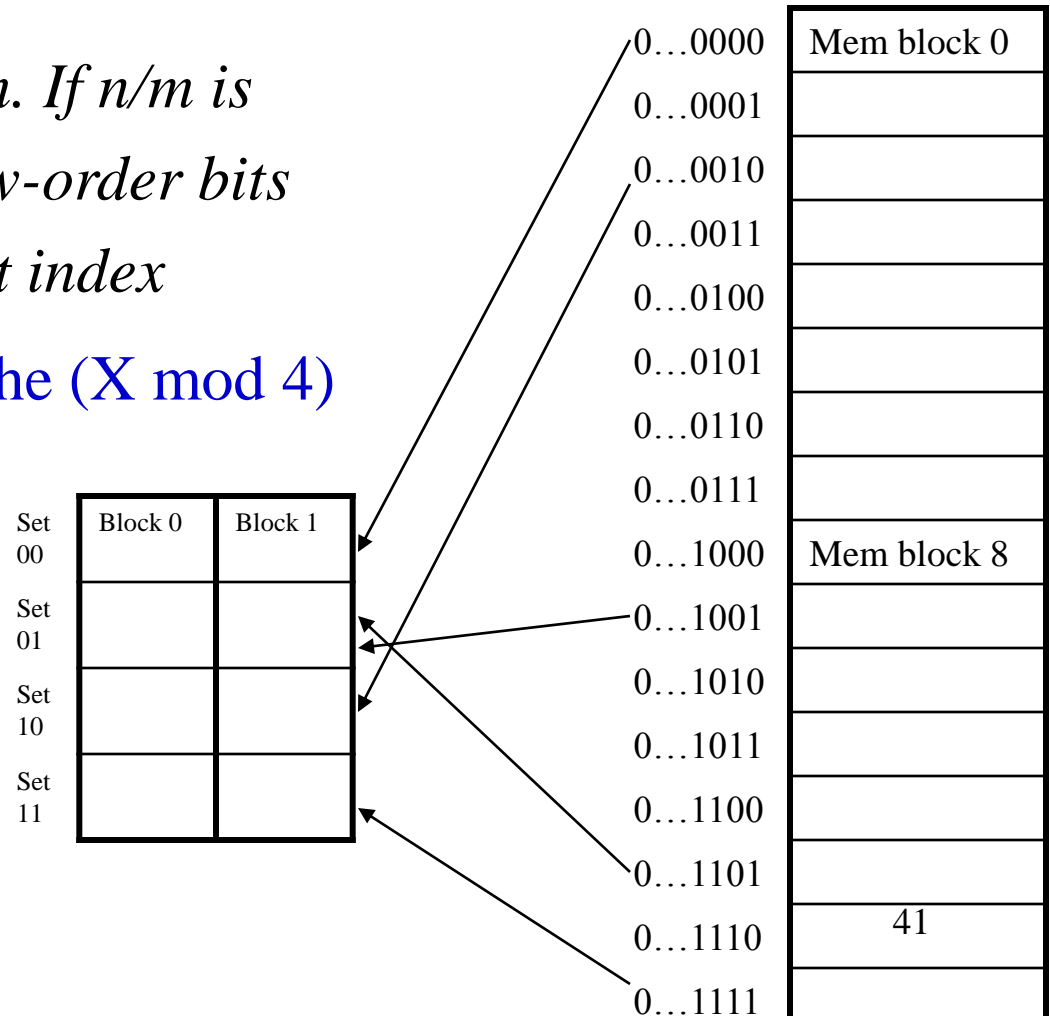
Example: 4 set, 2-way SA cache ( $X \bmod 4$ )

**PRO:**

Easier to find but won't always overwrite

**CON:**

Must search set for hit/miss



# Associativity Considerations

- **DM and FA are special cases of SA cache**
  - Set-Associative:  $n/m$  sets;  $m$  blocks/set
  - Direct-Mapped:  $m=1$
  - Fully-Associative:  $m=n$
- **Advantage of Associativity:** as associativity increases, miss rate decreases (because more blocks per set that we're less likely to overwrite)
- **Disadvantage of Associativity:** as associativity increases, hit time increases (because we have to search more blocks – more HW required)
- **Block Replacement:** LRU or random. Random is easier to implement and often not much worse

## Q2: Block Identification

- Every cache block has an address **tag** that identifies its location in memory
- Hit when tag and address of desired word **match** (comparison by hardware)
- Q: What happens when a cache block is empty?  
A: Mark this condition with a **valid bit**



# Q2: Block Identification

- Tag on each block
  - No need to check index or block offset
- Increasing associativity shrinks index, expands tag

Block Address		Block Offset
Tag	Index	

Fully Associative: **No index**

Direct Mapped: **Large index**

An address is divided into two parts. The block address can be further divided into the tag field and the index field. The block offset field selects the desired data from the block, the index field selects the set, and the tag field is compared against it for a hit.<sup>44</sup>

# Direct-Mapped Cache Design

